<u>AFFIDAVIT</u>

Henry Watkins, pursuant to 28 U.S.C. §1746, deposes and states as follows:

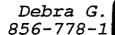
- 1. I am plaintiff in this case. I am taking this affidavit to respond to defendants' claim in their summary judgment motion that my deposition testimony is inconsistent with my affidavit regarding whether I tried to invoke the alternative dispute resolution process.
- 2. This affidavit is taken in order restate our involvement in the alternative dispute resolution process. My prior affidavit is consistent with my deposition testimony and with my complaint in this case.
- 3. In my deposition I was asked whether I tried to invoke the alternative dispute resolution process from the settlement or made any claims or complaints concerning discrimination and/or harassment at the Board since the settlement. I testified truthfully that my attorneys had done so.
- 4. As I said repeatedly, e.g. in my Complaint and answers to interrogatories (Exhibit A), I and other parties to the Agreement attempted to invoke the ADR process <u>in contemporaneous situations</u>; as we repeatedly complained about discrimination and retaliation against us to the Affirmative Action Officer LeDelle Ingram and Thomas Marshall, the Director of Personnel, from 1995 to 2001.

- 5. Additionally, my attorney contemporaneously, attempted to invoke the ADR process and was told that we could not invoke the process and must handle any complaints through the Union. In addition, Scott Roy told us the Board was unwilling to meet to address our concerns.
- 6. Despite the complaints of myself, my attorneys, and the other parties and our attempts to invoke the ADR process, the Board never did so.
- 7. Due to the Board's attitude, it became clear that any ADR process would be unconscionable and/or fruitless.
- 8. After years of trying to work through the ADR process by myself, my attorneys and by the other parties, it was clear from defendants' repeated refusals to pursue the ADR process that it was futile and defendants had abandoned the process or never implemented it in the first place.
- 9. As I stated in my complaint, my deposition testimony and my affidavit, I did not again seek to invoke the ADR process instead of filing this suit because it would have been futile.

Executed this 26^{th} day of June, 2006, pursuant to the penalties for unsworn perjury. I state the foregoing to be true and correct.

HENRY WATKINS

1 IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA 2 3 CIVIL ACTION LEON DINGLE 93-CV-5478 4 ERNEST C. HOLMES 93-CV-5927 DARRYL E. RANKIN 93-CV-5698 5 HOWRHU M. SELF 93 - CV - 5779HENRY R. WATKINS 93-CV-5926 6 HENRY WILLIAMS, JR. 93-CV-5696 7 - v s -PENNSYLVANIA BOARD OF PROBATION 8 AND PAROLE, ALAN CASTOR, JR., 9 CHAIRMAN, FRED W. JACOBS, FORMER CHAIRMAN, HAROLD SHALON, DISTRICT DIRECTOR, RONALD ZAPPAN, DEPUTY 10 DISTRICT DIRECTOR, DANIEL SOLLA, 11 DEPUTY DISTRICT DIRECTOR, PAUL DESCANO, DIRECTOR, ROBERT YERGER, DIRECTOR OF 12 PERSONNEL 13 1 4 Oral Deposition of 15 LeDELLE INGRAM 16 17 18 July 19, 2000 19 20 21 22 DEBRA G. JOHNSON & ASSOCIATES 800 Joshua Court 23 Moorestown, New Jersey 08057



2 4.



FAX 856-778-7890

856-778-1758

```
aware of, or do you mean that that was your
 1
     reason for being aware of it?
 2
                That was my reason for being aware
 3
     of it. It was a confidential document.
 4
 5
               Right.
     Q.
                       Now, did you receive any
 6
 7
     complaints of discrimination or retaliation
 8
     from any of the plaintiffs?
 9
                       MR. BLONDMAN: Receive after
10
               the settlement agreement was
111
               signed?
12
                       MR. SUGARMAN: Right.
13
                       THE WITNESS: Yes.
14
15
     CONTINUATION
16
     BY MR. SUGARMAN:
17
     Q.
               Did you receive any -- from whom
     did you receive complaints?
18
19
     A... I received a complaint from
     Mr. Henry Williams, and also Mr. Henry
20
2 1
     Watkins, and as I recall, I think Mr. Ernest
22
     Holmes.
3
    One complaint from each?
24
              Yes, as I recall.
```

```
agreement?
  1
  2
      Α.
                 I don't understand.
                Did you receive any different
  3
      Q.
      instructions as to compliance with the
  4
 5
     settlement agreement as a result of that
 6
      interaction --
 7
     Α.
                No.
 8
                -- with Mr. Scicchitano?
     Ο.
 9
     Α.
                     That was all information for
                No.
     the attorney in terms of the AFSCME issues.
10
11
     Ο.
                Did Mr. Scicchitano get a copy of
12
     the settlement agreement?
13
     Α.
                I don't know.
14
                Do you know whether Mr. Scicchitano
     Ο.
15
     ever had any discussions with anybody else in
16
     the Board in regard to that?
17
     Α.
                I can't speak for Mr. Scicchitano.
18
               Do you have any information as to
     Q.
19
     whether he did?
20
    Α.
               Not that I can recall.
21
    Q.
               All right.
22
                       Now, did you receive -- you
23
    indicated that you also received complaints
24
    regarding the settlement from Mr. Watkins; is
```

that correct? 1 2 A. I indicated I received a complaint 3 from Mr. Watkins. 4 0. What happened in regard to that complaint in terms of how it was 5 6 administered? 7 Did you -- were you able 8 to -- did you take any steps to resolve that 9 complaint informally? 10 Α. As I recall, Mr. Grant Freeman who 11 was working for my office currently at that 12 time, handled a lot of the interviews and the 13 initial report and Mr. Watkins and I finished 14 it up, and Mr. Watkins was given a closure 15 letter based on whatever we found or didn't 16 find. 17 0. Did that terminate your involvement 18 in that matter? 19 Α. Yes, I tried to finish -- finalize 20 it with the letter saying what the findings 21 were. 22 0. Now, does Mr. Freeman still work 23 for the Parole Board? 24 A. Yes, he does.

```
1
       Q.
                 What is his position at this time?
  2
       Α.
                 To the best of my knowledge, he
  3
       works in the eastern regional office with the
      regional director in institutional parole
  4
  5
      manager.
  6
      Q.
                Did his -- do you know, have any
      idea why his he was transferred out from
  7
      under your responsibility?
  8
  9
      A.
                 I was told that Mr. Freeman had
      a problem getting along with me because I
 10
 11
      required him to do his job in the manner for
      which he was hired, and he was upset about it
 12
 13
     and he wanted to be transferred somewhere
14
      else.
15
     Q.
                Who was it that he was having
16
     trouble getting along with?
17
     Α.
                He said it was me.
18
     Q.
                Did you have any trouble getting
19
     along with him?
20
     Α.
                I had a problem with Mr. Freeman
2 ]
     producing quality work.
22
               Can you describe what the problem
     Q.
23
     was?
2 4
```

Mr. Freeman was not accurate in

```
interviews when he did discrimination
1
     complaints. He didn't follow direction.
2
     He made assumptions, put them in writing.
3
     Did not follow facts. There was -- his
4
     performance was well documented by my --
5
     by myself.
6
               Did Mr. Freeman file any type of
7
     Q.
8
     complaint against your documentation or
9
     against your evaluation?
               No, he signed his evaluation.
10
     Α.
               Sorry?
11
     Q.
12
               No, he signed his evaluation and
     Α.
13
     did he not disagree with it.
               Did Mr. Freeman ever state whether
14
     Q.
     his -- he had problems in carrying out his
15
     functions due to the -- due to the management
16
17
     in your Philadelphia office?
18
               I don't understand that question.
     Α.
19
               Did he ever state whether -- to you
     Q.
20
     -- that problems or attitudes or behavior of
21
     people in the Philadelphia office made it
22
     difficult for him to do his job?
23
     Α.
               No.
24
               All right.
     Q.
```

```
1
                        Do you know if Mr. Watkins
      received a closure memorandum?
  2
  3
                        Do you know if he received
  4
      the transfer?
  5
      Α.
                I don't understand your question.
  6
                Do you know if -- what was the
      Q.
      result of Mr. Watkins' complaint?
 7
 8
                I have no idea. It was some years
      Α.
 9
      ago.
10
     Q.
               Now, you mentioned that Mr. Holmes
     made a complaint, one or more.
11
12
            I think Mr. Holmes did make a
     Α.
13
     complaint.
14
                What happened as a result of
     Q.
15
     Mr. Holmes' complaint?
16
     Α.
                I do not recall.
17
     Q.
               Did Mr. Self make a complaint?
18
     Α.
               No.
19
               Did Mr. Rankin make a complaint?
     Q.
20
     Α.
               No.
21
     Q.
               Are you sure Mr. Rankin never made
22
    a complaint to you?
23
                       MR. BLONDMAN: After Watkins'
24
               agreement was signed?
```



COMMONWEALTH of PENNSYLVANIA

Board of Probation and Parole

P.O. Box 1661 3101 N. Front Street Harrisburg, PA 17105-1661

March 6, 1998

Mr. Robert J. Sugarman Sugarman & Associates Attorneys at Law 7th Floor, Robert Morris Building 100 North 17th Street Philadelphia, PA 19103

Dear Mr. Sugarman:

In light of your recent correspondence in which you presented a proposed agenda and an indication of the proposed participants, please be advised that the "Concerned Staff of the Philadelphia District Office," pursuant to Act 195, must be referred to AFSCME as their designated collective bargaining representative.

Should you have any concerns with respect to specific cases in relation to which you function as counsel, please do not hesitate to contact me.

Sincerely,

Acting Chief Counsel

Office of Chief Counsel

KSR:djw

cc: Chairman William F. Ward

EXHIBIT 3

1 IN THE COURT OF COMMON PLEAS PHILADELPHIA COUNTY, PENNSYLVANIA 2 HENRY WATKINS APRIL TERM, 2002 3 4 vs. 5 ORIGINAL PENNSYLVANIA BOARD OF PROBATIONS AND PATROL AND 6 EDWARD JONES AND MICHAEL BUKATA) #002927 7 Friday, June 4, 2004 8 9 Oral deposition of ROBERT SUGARMAN, ESQUIRE, 10 taken pursuant to notice, was held at The Law Offices of Miller, Alfonso & Raspanti, 1818 Market Street, Suite 11 12 3402, Philadelphia, Pennsylvania, commencing at 4:00 13 p.m., on the above date, before SHEILA KLOS, a Registered 14 Professional Reporter and Commissioner of Deeds in The Commonwealth of Pennsylvania. 15 16 17 18 19 20 ESQUIRE DEPOSITION SERVICES 1880 John F. Kennedy Boulevard 21 15th Floor Philadelphia, Pennsylvania 19103 22 (215) 988-9191 23 **EXHIBIT** 24

15

16

17

18

```
1
       Bates #0257.
   2
                 Right.
  3
                 Now, that, if I understand your position in the
       Q.
       case, is one of the letters that you contend supports
  4
  5
       your client's claim that the alternative dispute
       resolution procedures in the settlement agreement were
  6
  7
      futile; is that correct?
  8
      Α.
                 Right.
                         That's one of them.
  9
                         (Whereupon, Exhibit-3 was marked
 10
                 for identification.)
 11
      BY MR. BENEDETTI:
 12
      Q.
                Here is Exhibit-3 which is your Bates Number
      258 and 259, a letter that you wrote to Mr. Roy dated
 13
 14
      February 19th of 1998. Is that another letter that
      supports your client's contention that the ADR provisions
15
16
      were futile?
17
      Α.
                Yes.
18
                Then finally based upon the same responses to
19
     the Request for Admissions we are looking at which are
20
     Exhibit-2, you identified Ms. Ingram's deposition
21
     testimony of February 19th of 2000 as another document or
22
     piece of evidence that supports your client's contention
23
     that the ADR provisions are futile; is that correct?
24
     Α.
               Correct.
```

```
1
                         (Whereupon, Exhibit-4 was marked
 2
                for identification.)
 3
      BY MR. BENEDETTT.
 4
                Here is Exhibit-4 which is a copy of that
 5
      testimony that you referred to.
 6
      Α.
                Right.
 7
                If I'm not mistaken, you were good enough to
      Q.
 8
     give me the page numbers beginning at Page 71 you said.
 9
     Α.
                Yes.
10
      Q.
                You wanted to add something?
11
     Α.
                No.
                     No.
12
                Could you just help me understand, starting at
13
     Page 71 is what you identify, your client identifies in
14
     his responses to Request for Admissions. From which line
15
     on Page 71 and then where does it end? Which part of
16
     Ms. Ingram's testimony do you contend supports your
17
     client's futile contention?
18
              The general discussion on 71 to 74 supports
19
     that she did not utilize or employ the procedures of the
20
     dispute resolution program. The statement, Did you have
21
     reference to the procedures of the settlement agreement?
22
     No, I didn't. Why? I didn't have the agreement to look
23
     at. Then she never gets -- she says, I didn't do
24
     anything about his issues. By that time he had retired.
```

ROBERT SUGARMAN, ESQUIRE

witness to do that work. I'm here as a witness. I'm not here as a lawyer. So, no, as a witness I'm refusing to do that. I have not received any requests from you to supplement the answer.

Q. The answer speaks for itself. It identifies a single page, and we'll stick to that single page. If your client chooses to expand its answer after this, we'll be stuck with it.

Other than the February 19, 1998 letter that Mr. Roy wrote to you and the March 6th, 1998 letter that you wrote to Mr. Roy which was marked and Ms.

Ingram's testimony, are there any other documents or information that you know of that support your client's contention that the ADR provisions in the settlement agreement are futile?

A. Yes. Since the time that we answered that, we had some events that occurred in another case of another one of the plaintiffs named Henry Williams. In our effort to enforce the settlement agreement in that case which has been pending before Judge O'Neill, we were directed to prepare a compendium of information as to what had transpired in connection with that effort to enforce the agreement. We searched through old files and found some more documents which when I was getting ready

for the deposition here today, refreshed my recollection 1 as to the whole history of what was reflected in LeDelle 2 Ingram's deposition relating to Mr. Williams which 3 further corroborates the answer and refreshes my recollection as to what Mr. Watkins was referring to 5 because he and Mr. Williams were in touch with each 6 7 other. 8 Q. Can you point to something specific, a document, a piece of testimony? 9 10 Yeah. It is an affirmative action complaint 11 filed by Henry Williams through The Board on April 25th, 12 1996. There is a two-page question and answer that 13 appears to be an interview that was marked at LeDelle 14 Ingram's deposition part of her transcript dated May 15 20th, 1996. There is a letter from me to Mark Blondman 16 B-L-O-N-D-M-A-N, counsel for The Board dated July 9, 17 There is a letter from LeDelle Ingram to Henry 1996. Williams dated September 13th, 1996. 18 There is a 19 memorandum to LeDelle Ingram from Henry Williams dated 20 July 18th, 1997. There is a memorandum again from 21 Williams to Ingram dated August 7, 1997. There is a letter from Virginia Thomas -- sorry, Veronica Thomas to Henry Williams dated August 14th, 1997. There is a memo from LeDelle Ingram to Gary Scicchitano,

22

23

```
1
       S-C-I-C-C-H-I-T-A-N-O dated December 24th, 1997.
  2
       is a letter from Alaine Williams, A-L-A-I-N-E Williams of,
      Willard Williams to Mark Blondman dated December 4th,
  3
  4
       1997.
              There is a letter from Alaine Williams to Mark
      Blondman dated September 10, 1997. There is another
  5
      letter from Alaine Williams to Mark Blondman dated June
  6
      6th, 1997. There is a letter dated January 5, 1998 from
  7
      me to William Ward, Chairman of The Board. There is a
  8
      EEO complaint dated February 11, 1998 signed by Henry
  9
 10
      Williams. There is a letter from LeDelle Ingram to Henry
      Williams dated February 26, 1998.
11
                                         There is a
12
      discrimination complaint dated March 19, 1998 by Henry
13
     Williams.
                 There is a resignation letter from Henry
14
     Williams to Roy Jones dated May 15th, 1998 and there is a
     petition of Henry Williams filed in the District Court on
15
     December 10th, 1998. There is the answer of defendants
16
17
     to that petition in the Williams case filed on February
     1, 1999. The depositions of Marcinko dated November 29,
18
19
            Deposition of James Robinson of December 6th,
20
     2000.
21
     Q.
               Are they both in the Williams case?
     Α.
               All this is in the Williams case.
     Q.
               Thank you.
               The deposition of Maureen Welsh taken on
```

22

23

- January 24th, 2001. 2 0. Let me stop you there. Who is Ms. Welsh?
- 3 Α. She was Mr. Henry Williams' supervisor.
- 4 Q. Do you know at which point in time?
- 5 Α. Sorry?

- 6 Ο. Do you know at which points in time Ms. Welsh
- 7 was Mr. Williams' supervisor?
- 8 Α. 1997, around then. That's probably, that's all
- I know of at this time. I just want to add I knew at the 9
- 10 time that there was more history, but I had completely
- 11 forgotten the details. So when I started thinking about
- this deposition, I realized that we had gathered all of 12
- 13 that stuff. I went back and saw that it all revolves
- 14 around, not all, but a lot of it revolves around and
- 15 evolves out of the fact that The Board was not pursuing
- 16 the implementation of the settlement agreement. And that
- 17 in fact one reason why they weren't doing it was because
- 18 The Union objected. The Union, The Union indicated to
- 19 The Board that they would treat it as a breach of
- 20 contract because it was in their view inconsistent with
- 21 the agreements, procedures in The Union contract.
- 22 were our conversations that I had with Mark Blondman in
- 23 1997, '98. That's why in my view, it was futile to
- 24 pursue the ADR approach.

- 1 Q. Did any of the documents that you just
- 2 | identified for us reference Mr. Watkins; to your
- 3 knowledge?
- 4 A. That, I couldn't tell you. Well, I can tell
- 5 you that the letter to Chairman Ward does, not by name
- 6 but by inclusion in the group. The others may. I can't
- 7 | tell you.
- Q. I'm asking you for your recollection. I'm not
- 9 asking you to read every page of every document right
- 10 now.
- 11 A. Right.
- 12 Q. To your recollection, do any of the documents
- 13 reference the ADR provision in the 1993 settlement
- 14 | agreement?
- 15 A. Yes.
- 16 Q. Do you know which ones, off the top of your
- 17 | head?
- 18 A. The letters from Alaine Williams to Mark
- Blondman, the letter to Mr. Ward.
- 20 Q. Your letter to Ward?
- 21 A. Right.
- 22 Q. Okay.
- A. LeDelle Ingram's documents dance around it. I
- don't know if they specifically say ADR.

- 1 All I want is the ones that mention the ADR Q. 2 provision in the settlement agreement? 3 Mention? I would say they all involve it. Α. Whether they mention it or not, it's implicit. 4
- 5 Can you dig out for me the Williams letters to 0.
- Blondman and your letter to Ward. 6
- 7 I believe the motion on behalf of Williams filed with The Court also. My letter to Mark Blondman 8 dated July 9th, 1996 started the whole thing. Let me 9 10 look at that one real quick. I don't know if that's 11 That came in the early stages. I was also writing to Mr. Ward around that time. It basically made it clear 12 that ADR was not to be pursued. 13
- 14 Q. Who made that clear, Mr. Sugarman?
- 15 Α. Mark Blondman, Alaine Williams, LeDelle Ingram at least, maybe others as well. 16
- 17 How did Mr. Blondman make it clear? Q.

18

19

20

21

22

23

- Mark said to me that The Union had serious problems with the ADR and The Board didn't know whether they were going to be able to implement it because The Union was making noises that was going to be a violation of the Collective Bargaining Agreement.
 - Did The Board or any of its representatives Q. including Mr. Blondman ever say that The Board had a

1	problem implementing the ADR provisions? Not The Union,
2	The Board.
3	A. LeDelle Ingram's memo of December 24th, 1997
4	indicates it.
5	Q. Can I see that one?
6	A. That's a memo from LeDelle Ingram to
7	Scicchitano.
8	Let me correct something. My .
9	correspondence with Mr. Ward started when he was
10	appointed. I believe he was appointed in and around
11	'98. I believe that's when I contacted him, but I'm not
12	sure of that.
13	Q. Are there any other documents?
14	A. I have listed them all.
15	Q. That references the ADR provision?
16	A. I really am not going to yeah. Well, the
17	Williams letters.
18	Q. Can I see those?
19	A. Yeah. June 6th, '97 three-page letter
20	four-page letter. Sorry. Yes. The June 6th letter is a
21	three-page letter.
22	MR. SUGARMAN: Let the record show I'm
23	handing these documents to Mr. Benedetti as
24	I'm referencing them that he may or may not